The United States Patent and Trademark Office mail room stamp hereon acknowledges receipt of the following items:

For:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

In the names of MICHAEL J. HORGAN ET AL.

Ser. No. 08/908,994

1.

2.

Revocation of Power of Attorney and New Power of Attorney by Assignee (Page 1 & 2); Assignee Certification (Page 1); Assignment (Document) Cover Letter (Page 1 thru 3); Assignment (Page 1 thru 3) and; Check for \$40 recordation fee. 3.

4.

5.

Express Mail No.: EV265365059US

Date Mailed: Attorney:

Docket No.

SYN5297.01A

THE UNITED STATES PATENT AND TRADEMARK OFFICE



John P. O'Banion O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

EV 265365059 US

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PO ZIP Code
Day of Delivery 12 Noon Nex Military FROM: (PLEASE PRINT) ∏ B Express Mall Corporate Acct. No. No Delivery □ AM Weight Š

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Inventors:

HORGAN ET AL.

Serial No.:

08/908,994

Filed:

**AUGUST 8, 1997** 

For:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

Group No.:

Examiner:

Docket No.: (SYN5397.01A)

# **Assistant Commissioner for Patents** Washington, D.C. 20231

# **REVOCATION OF POWER OF ATTORNEY NEW POWER OF ATTORNEY BY ASSIGNEE**

# Dear Sir:

The undersigned assignee of record hereby revokes all prior powers of attorney in this application and appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71:

John P. O'Banion

Registration No. 33,201

James M. Ritchey

Registration No. 32,594

James C. Peacock, III

Registration No. 40,124

Steven L. Smith

Registration No. 44,343

Rodger H. Rast

Registration No. 45,853

#### SEND CORRESPONDENCE TO:

### **DIRECT TELEPHONE CALLS TO:**

John P. O'Banion O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

John P. O'Banion (916) 498-1010

An ass	ignment of the entire interest in the above-identified subject application
[]	was recorded on at reel/frame
[X]	is submitted herewith for recording.
Dated: /2	-18-02
ASSIGNEE:	SYNECTIC DESIGN LLC
Signature:	My Days
Typed Name:	
Title:	Managing Partner
Address:	5037 Buffwood Way Sacramento, CA 95841

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): HORGAN ET AL.			Docket No. SYN5397.01A	
Serial No. 08/908,994	Filing Date AUGUST 8, 1997	Examiner	Group Art Unit	
nvention: METHOD A	ND APPARATUS FOR SECURE	COMMUNICATION		
I hereby certify that the	following correspondence:			
Revocation of Power of	Attorney and New Power of Atto	orney (Page 1 & 2)		
	(Identify type o	of correspondence)		
is being deposited with	the United States Postal Service	ce "Express Mail Post Office to	Addressee" service under	
37 CFR 1 10 in an env	elope addressed to: The Assista	nt Commissioner for Patents W	ashington D.C. 20231 on	
<u></u>	·	The Commission of Factoria, The	adimigrati, biol 2020 i on	
(Date)	20, 2002			
		Jerry V. Kin	g	
		(Typed or Printed Name of Person Ma	iling Correspondence)	
		Juy Ve	4.	
		(Signature of Person Mailing C	orresp6ndence)	
		EV265365059		
		("Express Mail" Mailing La	bel Number)	

Note: Each paper must have its own certificate of mailing.

Inventors:

HORGAN ET AL.

Serial No.: 08/908,994

Filed:

**AUGUST 8, 1997** 

For:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

Group No.:

Examiner:

Docket No.: (SYN5397.01A)

# **ASSIGNEE CERTIFICATION UNDER 37 C.F.R. 3.73(b)**

In accordance with 37 C.F.R. 3.73(b), the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated: 12 -18 -02.

ASSIGNEE:

SYNECTIC DESIGN LLC

Signature:

Typed Name:

Michael Horgan

Title:

Managing Partner

Address:

5037 Buffwood Way

Sacramento, CA 95841

CERTIFICATE OF I	Docket No. SYN5397.01A			
Serial No. 08/908,994	Filing Date AUGUST 8, 1997	Examiner	Group Art Unit	
Invention: METHOD A	ND APPARATUS FOR SECURE	COMMUNICATION		
Assignee Certification (	following correspondence: Page 1)			
37 CFR 1.10 in an env	n the United States Postal Servi	of correspondence)  ce "Express Mail Post Office to A  nt Commissioner for Patents, Wa		
		Jerry V. Kin		
		(Typed or Printed Name of Person Mail  (Signature of Person Mailing Co	51	
EV265365059US				
	Note: Each paper must ha	("Express Mail" Mailing Lat	ei iyumder)	

#### PATENT

Attorney Docket No. (SYN5397.01A)

Applicant:

HORGAN ET AL.

Serial No.:

08/908,994

Filed:

**AUGUST 8, 1997** 

Title:

Group:

Examiner:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

# ASSIGNMENT (DOCUMENT) COVER LETTER

# PATENT OR PATENT APPLICATION

#### 1. NAMES OF PARTY(IES) MAKING TRANSFER

Name 1:

JEROME E. ROBERTSON, TRUSTEE IN BANKRUPTCY

#### IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE 2.

This transfer is being made to:

Name:

MICHAEL J. HORGAN MANAGING PARTNER

SYNECTIC DESIGN LLC

Address:

**5037 BUFFWOOD WAY** 

SACRAMENTO, CA 95841

#### INTENTION OF DOCUMENT 3.

A brief description of what the accompanying document intends to accomplish is that it is an:

Assignment		
License		
Security Interest		

Other

4.	IDENTIFICATION OF PATENT OR APPLICATION				
	Particulars of the patent or application to which the accompanying document applies are:				
	Inver	Inventor(s):			
		MICH	HAEL J. HORGAN ET AL.		
	For (t	title):			
		METH	HOD AND APPARATUS FOR SECURE COMMUNICATION		
	(a)	_	U.S. patent application filed herewith.		
	(b)	<u>X</u>	U.S. patent application serial no. <u>08/908,994</u> filed on <u>AUGU</u>	<u>IST 8, 1997</u> .	
	(c)	_	U.S. patent no issued		
5.	NAM	E AND A	ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAIL	ED	
	Pleas	e addres	ss all correspondence and return the recorded document to:		
		O'BAI 400 C	P. O'Banion NION & RITCHEY LLP Capitol Mall, Suite 1550 amento, CA 95814		
6.	NUM	BER OF	APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE I	DUE	
	The n	number o	of applications or patents identified herein is:1		
	Total	Fee Due	Э	\$ <u>40.00</u>	
7.	DATE	DOCUI	MENT WAS EXECUTED		
	The a	ccompa	nying document was executed on <u>NOVEMBER 28, 2001</u> .		

# 8. **FEE PAYMENT**

ree pa	lyment is provided for as follows:
_	New Application transmittal (Item 18 - INCLUDED IN FILING FEE)
_	FWC Transmittal (Item VIII)
_	Transmittal of Filing under 37 CFR 1.60(b) (Item 11)
<u>X</u>	Attached is a check in the sum of \$40.00
	X Charge Account No. <u>07-1137</u> if any additional fee is due.
_	Charge Account No in the sum of A duplicate of this transmittal is attached.

# 9. STATEMENT OF AUTHENTICITY

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

# 10. SIGNATURE

Dated:

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP

400 Capitol Mall, Suite 1550 Sacramento, CA 95814

(916) 498-1010

117749.1

1 Michael A. Isaacs, CSB #99782 **FILED** LUCE, FORWARD, HAMILTON & SCRIPPS, LLP 121 Spear Street, Suite 200 San Francisco, CA 94105 NOV 1 9 2001 Telephone: (415) 356-4600 Fax: (415) 356-4610 CLERK United States Bankruptcy Court 4 San Jose, California Attorneys for JEROME E. ROBERTSON. 5 Trustee in Bankruptcy 6 7 8 UNITED STATES BANKRUPTCY COURT 9 FOR THE NORTHERN DISTRICT OF CALIFORNIA 10 11 In re Case No. 97-60043 ASW Chapter 7 12 ACL DATACOM, INC., 13 Debtor, [No Hearing Required] 14 ORDER AUTHORIZING ASSIGNMENT AND SALE OF REMAINING PERSONAL PROPERTY 15 Based upon the Request for Entry of Order Authorizing Granting Assignment and Sale of 16 Remaining Personal Property (the "Request") filed by Jerome E. Robertson, Trustee in bankruptcy 17 of the estate of the above Debtor, and the supporting declaration of counsel, that notice is proper 18 19 under the circumstances, and good cause appearing therefor, it is 20 ORDERED as follows: 1. The Trustee's Request is approved. 21 The Trustee is authorized to assign/transfer the Debtor's intellectual property, 22 including Patent Application No. S.N.08-908, 994 filed on or about August 8, 1997, and sell the 23 24 Debtor's remaining personal property, including servers, equipment and other items, to Michael J. 25 Horgan for the sum of \$5,000. 3, · The Trustee is further authorized to execute those documents as may be necessary to 26 complete the assignment/sale of the Debtor's intellectual property/personal property to Mr. Horgan. 27 NOV 19 2001 DATED: 28 UNITED STATES BANKRUPTCY JUDGE

ASSIGNMENT and PURCHASE AGREEMENT

This agreement ("Agreement") is made and is effective as of November 28, 2001, by and between ACL Datacom, Inc. ("ACL"), represented by Jerome Robertson as Trustee ("Trustee"), [in the bankruptcy of ACL Datacom, Inc., Case Number 97-60043 United States Bankruptcy Court, Northern District of California] and Michael Horgan ("Horgan").

In consideration of the premises and the mutual covenants of this Agreement, the parties hereto agree as follows:

### 1 PURPOSE AND DEFINITIONS.

- 1.1 Purpose. ACL and Trustee wish to assign to Horgan all right, title and interest in and to any rights ACL and/or Trustee may have and/or acquire in the intellectual property described in Application for United States Patent for METHOD AND APPARATUS FOR SECURE COMMUNICATIONS and any intellectual property developed by and/or for ACL derivative thereto, and sell to Horgan all ACL equipment (as defined hereinbelow) in the possession of Trustee, as provided hereinafter.
- 1.2 <u>Definitions</u>. Capitalized terms have the respective meanings set forth below:
  - 1.2.1 "Patent" means the Application for United States Patent for METHOD AND APPARATUS FOR SECURE COMMUNICATIONS, numbered S. N. 08/908,994, the first named inventor being Horgan; and,
  - 1.2.2 "Intellectual Property" means any and all intellectual property related to the Patent and any derivative thereto; and,
  - 1.2.3 "Equipment" means all ACL equipment (including, without limitation, the telephony server and any computers), CDs, disks, tapes and other material in the possession of Trustee; and
  - 1.2.4 "Person" means an individual, corporation, limited liability company, partnership, association, trust or other legal entity or organization, and any government, political entity or other sovereign entity.

## 2 INTELLECTUAL PROPERTY ASSIGNMENT and PURCHASE OF EQUIPMENT

- ACL and Trustee hereby intevocably assign to Horgan, and Horgan accepts from ACL and Trustee, exclusively throughout the world all right, title and interest (whether or not now existing) in and to all of their respective rights in the Intellectual Property, if any, and in the Patent, if any, effective on the date first set forth hereinabove. To the extent allowed by law, said assignment includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights," "artist's rights," "droit moral" or the like (collectively "Moral Rights"). To the extent ACL and/or Trustee retain any such Moral Rights under applicable law, ACL and Trustee hereby ratify and consent to, and provide all necessary ratifications and consents to, any action that may be taken with respect to such Moral Rights by or authorized by Horgan, ACL and Trustee agree not to assert any Moral Rights with respect thereto. ACL and Trustee will confirm any such ratifications, consents and agreements from time to time as requested by Horgan. If Horgan is unable for any reason whatsoever to secure ACL's and/or Trustee's signature to any document relative thereto, ACL and Trustee hereby irrevocably designate and appoint Horgan and his authorized agents, as its/their respective agents and attorneys-in-fact with full power of substitution to act for and on its/their respective behalf and instead of ACL and/or Trustee, to execute and file any such document or documents and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by ACL and/or Trustee.
- 2.2 ACL and Trustee hereby sell to Horgan, and Horgan accepts from ACL and Trustee, all of the Equipment.
- 2.3 Nothing in this Agreement shall afford ACL or Trustee or any Person any rights and/or ownership in any product and/or service and/or intellectual property developed by Horgan or any other Person which is based upon or incorporates any of the Intellectual Property and/or the Patent and/or their respective derivatives, if any.

#### 3 PATENT

ACL and Trustee hereby affirm their prior authorization for Horgan, and/or any Person designated by him, the right, but not the obligation, to prosecute Patent. If Horgan is unable for any reason whatsoever to secure ACL's

6-21-1997 3:18AM FRC

and/or Trustee's signature to any document relative to said prosecution, ACL and Trustee hereby irrevocably designate and appoint Horgan and his authorized agents, as its/their respective agents and attorneys-in-fact with full power of substitution to act for and on its/their respective behalf and instead of ACL and/or Trustee, to execute and file any such document or documents and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by ACL and/or Trustee.

#### 4 CONSIDERATION

The parties agree that Horgan shall pay ACL and Trustee the sum of \$5,000 (Five Thousand Dollars), as full compensation for said assignment and sale.

#### 5 GOVERNING LAW AND ENFORCEMENT OF RIGHTS

This Agreement and the performance of all obligations hereunder shall be governed by and construed in accordance with the laws of the State of California applicable to agreements negotiated, executed and performed in California by California parties.

#### 6 MISCELLANEOUS.

- 6.1 Entire Agreement. This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof, and supersedes all prior and contemporaneous negotiations and agreements with respect to this subject matter, whether oral or written. No modification of or amendment to this Agreement shall be effective unless in writing signed by the party against whom it is to be enforced.
- 6.2 No Waiver. No waiver of any right hereunder shall be deemed to be waiver of the same right on any other occasion.
- 6.3 <u>Assignment</u>. This Agreement and all of the terms thereof shall be binding upon and inure to the benefit of the parties hereto.
- 6.4 <u>Severability</u>. If any provision of this Agreement is held illegal or unenforceable by a court of competent jurisdiction, the remaining provisions of this Agreement shall remain in effect and the invalid provision deemed modified to the least degree necessary to remedy such invalidity.
- 6.5 No Third Party Beneficiaries. Nothing expressed or implied in this Agreement is intended to confer, nor shall anything herein confer, upon any Person other than the parties and their respective permitted successors or the permitted assigns of the parties, any rights, remedies, obligation or liabilities whatsoever.
- 6.6 Further Assurances. ACL and Trustee shall perform such acts, execute and deliver such instruments and documents, and do all such other things as may be reasonably necessary to accomplish the transactions contemplated by this Agreement, without charge.
- 6.7 <u>Construction</u>. ACL and Trustee and Horgan each agree that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement.
- 6.8 <u>Subject Headings</u>. The subject headings of this Agreement are included for the purpose of convenience only, and shall not affect the construction or interpretation of any of its provisions.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first set forth above.

ne Robertson, Esq.

ACL By: Trastel

oric Kobertson, Esq.

Trustee

Horgan

Michael Horga

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): HORGAN ET AL.			Docket No. SYN5397.01A
Serial No. 08/908,994	Group Art Unit		
nvention: METHOD A	ND APPARATUS FOR SECURE	E COMMUNICATION	
	following correspondence: er and Assignment (Page 1 thru 6	)	
	the United States Postal Servi	of correspondence) ce "Express Mail Post Office to a	
_	20, 2007	,	<b>3</b> .
		Jerry V. Kin (Typed or Printed Name of Person Ma	g lling Correspondence)
		2mg Va	~~~
		(Signature of Person Mailing Co	orrespondence)
		TEXT2 ( E.O. E.O. E.O. E.O. E.O. E.O. E.O. E.	ria
		EV265365059	<u> </u>

Note: Each paper must have its own certificate of mailing.



APRIL 28, 2003

PTAS

O'BANION & RITCHEY LLP JOHN P. O'BANION 400 CAPITOL MALL, SUITE 1550 SACRAMENTO, CA 95814 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



\*102323900A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/20/2002

REEL/FRAME: 013603/0695

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROBERTSON, JEROME E., TRUSTEE IN

DOC DATE: 08/08/1997

BANKRUPTCY

ASSIGNEE:

HORGAN, MICHAEL J., MANAGING PARTNER SYNECTIC DESIGN WAY 5037 BUFFWOOD WAY

SACRAMENTO, CALIFORNIA 95841

SERIAL NUMBER: 08908994

PATENT NUMBER:

FILING DATE: 08/08/1997

ISSUE DATE:

KIMBERLY WHITE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 12-30-2002



102323900

**PATENT** 

Attorney Docket No. (SYN5397.01A)

Applicant:

HORGAN ET AL.

Serial No.:

08/908,994

Filed:

**AUGUST 8, 1997** 

Title:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

2) 20 20

Group: Examiner:

# ASSIGNMENT (DOCUMENT) COVER LETTER

# PATENT OR PATENT APPLICATION

12-20-02

1. NAMES OF PARTY(IES) MAKING TRANSFER

Name 1:

JEROME E. ROBERTSON, TRUSTEE IN BANKRUPTCY

2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

This transfer is being made to:

Name:

MICHAEL J. HORGAN

MANAGING PARTNER

SYNECTIC DESIGN LLC

Address:

**5037 BUFFWOOD WAY** 

SACRAMENTO, CA 95841

## 3. INTENTION OF DOCUMENT

A brief description of what the accompanying document intends to accomplish is that it is an:

X Assignment License

Security Interest

\_ Other

12/30/2002 LMUELLER 00000031 08908994

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40.00 OP

••	DENTI TOATION OF TATEM OFFAIT EIGATION			
	Particulars of the patent or application to which the accompanying document applies are:			
	Inventor(s):			
	MICHAEL J. HORGAN ET AL.			
	For (title):			
	METHOD AND APPARATUS FOR SECURE COMMUNICATION			
	(a) U.S. patent application filed herewith.			
	(b) X U.S. patent application serial no. <u>08/908,994</u> filed on <u>AUGUST 8, 1997</u> .			
	(c) U.S. patent no issued			
5.	NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED			
	Please address all correspondence and return the recorded document to:			
	John P. O'Banion O'BANION & RITCHEY LLP			
	400 Capitol Mall, Suite 1550			
	Sacramento, CA 95814			
6.	NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE			
	The number of applications or patents identified herein is:1			
	Total Fee Due \$ 40.00			
7.	DATE DOCUMENT WAS EXECUTED			
	The accompanying document was executed on <u>NOVEMBER 28, 2001</u> .			

## 8. **FEE PAYMENT**

Fee pa	lyment is provided for as follows:
	New Application transmittal (Item 18 - INCLUDED IN FILING FEE)
_	FWC Transmittal (Item VIII)
_	Transmittal of Filing under 37 CFR 1.60(b) (Item 11)
X	Attached is a check in the sum of \$40.00
	X Charge Account No. 07-1137 if any additional fee is due.
	Charge Account No in the sum of A duplicate of this transmittal is attached.

## 9. STATEMENT OF AUTHENTICITY

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

# 10. **SIGNATURE**

Dated:

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP

400 Capitol Mall, Suite 1550 Sacramento, CA 95814

(916) 498-1010

1 Michael A. Isaacs, CSB #99782 FILED LUCE, FORWARD, HAMILTON & SCRIPPS, LLP 2 121 Spear Street, Suite 200 San Francisco, CA 94105 NOV 1 9 2001 3 Telephone: (415) 356-4600 Fax: (415) 356-4610 CLERK United States Bankruptcy Court 4 San Jose, Californía Attorneys for JEROME E. ROBERTSON. 5 Trustee in Bankruptcy 6 7 8 UNITED STATES BANKRUPTCY COURT 9 FOR THE NORTHERN DISTRICT OF CALIFORNIA 10 11 In re Case No. 97-60043 ASW Chapter 7 12 ACL DATACOM, INC., 13 Debtor, [No Hearing Required] 14 ORDER AUTHORIZING ASSIGNMENT 15 AND SALE OF REMAINING PERSONAL PROPERTY 16 Based upon the Request for Entry of Order Authorizing Granting Assignment and Sale of Remaining Personal Property (the "Request") filed by Jerome E. Robertson, Trustee in bankruptcy 17 of the estate of the above Debtor, and the supporting declaration of counsel, that notice is proper 18 under the circumstances, and good cause appearing therefor, it is 19 ORDERED as follows: 20 1. The Trustee's Request is approved. 21 The Trustee is authorized to assign/transfer the Debtor's intellectual property, 22 including Patent Application No. S.N.08-908, 994 filed on or about August 8, 1997, and sell the 23 24 Debtor's remaining personal property, including servers, equipment and other items, to Michael J. Horgan for the sum of \$5,000. 25 The Trustee is further authorized to execute those documents as may be necessary to 3. 26

28

117749.1

27

DATED:

NUV 19 2001

complete the assignment/sale of the Debtor's intellectual property/personal property to Mrc Horgan.

UNITED STATES BANKRUPTCY JUDGE

P. 3

## ASSIGNMENT and PURCHASE AGREEMENT

This agreement ("Agreement") is made and is effective as of November 28, 2001, by and between ACL Datacom, Inc. ("ACL"), represented by Jerome Robertson as Trustee ("Trustee"), [in the bankruptcy of ACL Datacom, Inc., Case Number 97-60043 United States Bankruptcy Court, Northern District of California] and Michael Horgan ("Horgan").

In consideration of the premises and the mutual covenants of this Agreement, the parties hereto agree as follows:

#### 1 PURPOSE AND DEFINITIONS.

- 1.1 <u>Purpose</u>. ACL and Trustee wish to assign to Horgan all right, title and interest in and to any rights ACL and/or Trustee may have and/or acquire in the intellectual property described in Application for United States Patent for METHOD AND APPARATUS FOR SECURE COMMUNICATIONS and any intellectual property developed by and/or for ACL derivative thereto, and sell to Horgan all ACL equipment (as defined hereinbelow) in the possession of Trustee, as provided hereinafter.
- 1.2 <u>Definitions</u>. Capitalized terms have the respective meanings set forth below:
  - 1.2.1 "Patent" means the Application for United States Patent for METHOD AND APPARATUS FOR SECURE COMMUNICATIONS, numbered S. N. 08/908,994, the first named inventor being Horgan; and,
  - 1.2.2 "Intellectual Property" means any and all intellectual property related to the Patent and any derivative thereto; and,
  - 1.2.3 "Equipment" means all ACL equipment (including, without limitation, the telephony server and any computers), CDs, disks, tapes and other material in the possession of Trustee; and
  - 1.2.4 "Person" means an individual, corporation, limited liability company, partnership, association, trust or other legal entity or organization, and any government, political entity or other sovereign entity.

#### 2 INTELLECTUAL PROPERTY ASSIGNMENT and PURCHASE OF EQUIPMENT

- 2.1 ACL and Trustee hereby interocably assign to Horgan, and Horgan accepts from ACL and Trustee. exclusively throughout the world all right, title and interest (whether or not now existing) in and to all of their respective rights in the Intellectual Property, if any, and in the Patent, if any, effective on the date first set forth hereinabove. To the extent allowed by law, said assignment includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights," "artist's rights," "droit moral" or the like (collectively "Moral Rights"). To the extent ACL and/or Trustee retain any such Moral Rights under applicable law, ACL and Trustee hereby ratify and consent to, and provide all necessary ratifications and consents to, any action that may be taken with respect to such Moral Rights by or authorized by Horgan, ACL and Trustee agree not to assert any Moral Rights with respect thereto. ACL and Trustee will confirm any such ratifications, consents and agreements from time to time as requested by Horgan. If Horgan is unable for any reason whatsoever to secure ACL's and/or Trustee's signature to any document relative thereto, ACL and Trustee hereby irrevocably designate and appoint Horgan and his authorized agents, as its/their respective agents and attorneys-in-fact with full power of substitution to act for and on its/their respective behalf and instead of ACL and/or Trustee, to execute and file any such document or documents and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by ACL and/or Trustee.
- 2.2 ACL and Trustee hereby sell to Horgan, and Horgan accepts from ACL and Trustee, all of the Equipment.
- 2.3 Nothing in this Agreement shall afford ACL or Trustee or any Person any rights and/or ownership in any product and/or service and/or intellectual property developed by Horgan or any other Person which is based upon or incorporates any of the Intellectual Property and/or the Patent and/or their respective derivatives, if any.

#### 3 PATENT

ACL and Trustee hereby affirm their prior authorization for Horgan, and/or any Person designated by him, the right, but not the obligation, to prosecute Patent. If Horgan is unable for any reason whatsoever to secure ACL's

P. 2

and/or Trustee's signature to any document relative to said prosecution, ACL and Trustee hereby irrevocably designate and appoint Horgan and his authorized agents, as its/their respective agents and attorneys-in-fact with full power of substitution to act for and on its/their respective behalf and instead of ACL and/or Trustee, to execute and file any such document or documents and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by ACL and/or Trustee.

#### 4 CONSIDERATION

The parties agree that Horgan shall pay ACL and Trustee the sum of \$5,000 (Five Thousand Dollars), as full compensation for said assignment and sale.

#### 5 GOVERNING LAW AND ENFORCEMENT OF RIGHTS

This Agreement and the performance of all obligations hereunder shall be governed by and construed in accordance with the laws of the State of California applicable to agreements negotiated, executed and performed in California by California parties.

#### 6 MISCELLANEOUS.

- 6.1 Entire Agreement. This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof, and supersedes all prior and contemporaneous negotiations and agreements with respect to this subject matter, whether oral or written. No modification of or amendment to this Agreement shall be effective unless in writing signed by the party against whom it is to be enforced.
- 6.2 No Waiver. No waiver of any right hereunder shall be deemed to be waiver of the same right on any other occasion.
- 6.3 <u>Assignment</u>. This Agreement and all of the terms thereof shall be binding upon and inure to the benefit of the parties hereto.
- 6.4 <u>Severability</u>. If any provision of this Agreement is held illegal or unenforceable by a court of competent jurisdiction, the remaining provisions of this Agreement shall remain in effect and the invalid provision deemed modified to the least degree necessary to remedy such invalidity.
- No Third Party Beneficiaries. Nothing expressed or implied in this Agreement is intended to confer, nor shall anything herein confer, upon any Person other than the parties and their respective permitted successors or the permitted assigns of the parties, any rights, remedies, obligation or liabilities whatsoever.
- 6.6 <u>Further Assurances</u>. ACL and Trustee shall perform such acts, execute and deliver such instruments and documents, and do all such other things as may be reasonably necessary to accomplish the transactions contemplated by this Agreement, without charge.
- 6.7 <u>Construction</u>. ACL and Trustee and Horgan each agree that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement.
- 6.8 <u>Subject Headings</u>. The subject headings of this Agreement are included for the purpose of convenience only, and shall not affect the construction or interpretation of any of its provisions.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first set forth above.

ACL

By: Truste

ne Robertson, Esq.

Trustee

erome Robertson, Esq.

Horgan

Michael Horga

Inventors:

HORGAN ET AL.

Serial No.:

08/908.994

Filed:

**AUGUST 8, 1997** 

For:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

Group No.:

Examiner:

Docket No.: (SYN5397.01A)

# Assistant Commissioner for Patents Washington, D.C. 20231

# REVOCATION OF POWER OF ATTORNEY NEW POWER OF ATTORNEY BY ASSIGNEE

### Dear Sir:

The undersigned assignee of record hereby revokes all prior powers of attorney in this application and appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71:

John P. O'Banion

Registration No. 33,201

James M. Ritchey

Registration No. 32,594

James C. Peacock, III

Registration No. 40,124

Steven L. Smith

Registration No. 44,343

Rodger H. Rast

Registration No. 45,853

# **SEND CORRESPONDENCE TO:**

# **DIRECT TELEPHONE CALLS TO:**

John P. O'Banion O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

John P. O'Banion (916) 498-1010

An ass	signment of the entire interest in the above-identified subject application:
. []	was recorded on at reel/frame
[X]	is submitted herewith for recording.
Dated: /2	-18-0Z
ASSIGNEE:	SYNECTIC DESIGN LLC
Signature:	M/ Day
Typed Name	: Michael Horgan
Title:	Managing Partner
Address:	5037 Buffwood Way

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): HORGAN ET AL.			Docket No. SYN5397.01A
Serial No. 08/908,994	Group Art Unit		
vention: METHOD AN	D APPARATUS FOR SECURE O	COMMUNICATION	
	ollowing correspondence:  ttorney and New Power of Attorn	ney (Page 1 & 2)	
•	(Identify type of a the United States Postal Service ope addressed to: The Assistant	"Express Mail Post Office to	
		Jerry V. Ki	ng
		(Typed or Printed Name of Person M.	
		2mg V	in.
		(Signature of Person Mailing	Correspondence)
		EV26536505	OUS
		("Express Mail" Mailing L	abel Number)

Inventors:

HORGAN ET AL.

Serial No.:

08/908,994

Filed:

**AUGUST 8, 1997** 

For:

METHOD AND APPARATUS FOR SECURE COMMUNICATION

Group No.:

Examiner:

Docket No.: (SYN5397.01A)

# ASSIGNEE CERTIFICATION UNDER 37 C.F.R. 3.73(b)

In accordance with 37 C.F.R. 3.73(b), the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated: 12 -18 -02 .

ASSIGNEE:

SYNECTIC DESIGN LLC

Signature:

Typed Name:

Michael Horgan

Title:

Managing Partner

Address:

5037 Buffwood Way

Sacramento, CA 95841

CERTIFICATE OF Applicant(s): HORGAN	Docket No. SYN5397.01A					
Serial No. 08/908,994	Examiner	Group Art Unit				
Invention: METHOD A	ND APPARATUS FOR SECURE	COMMUNICATION				
I hereby certify that the	e following correspondence:					
Assignee Certification	Assignee Certification (Page 1)					
	(Identify type	of correspondence)				
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37 CFR 1 10 in an env	elone addressed to: The Assista	nt Commissioner for Patents, Was	hington, D.C. 20231 on			
	0, 2002	,				
		Jerry V. King				
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Serial No. , 08/908,994	Filing Date AUGUST 8, 1997	Examiner	Group Art Unit	
Invention: METHOD AND APPARATUS FOR SECURE COMMUNICATION				
Assignment Cover Letter and Assignment (Page 1 thru 6)				
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37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on				
Dec, 2	20, 2002			
(Date)				
	Jerry V. King  (Typed or Printed Name of Person Mailing Correspondence)			
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(Signature of Person Mailing Correspondence)				
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("Express Mail" Mailing Label Number)				
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